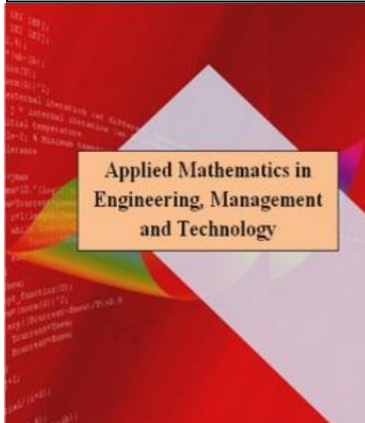


# A Genetic Algorithm for Minimizing Total Weighted Tardiness on a Single Machine with Sequence Dependent Setup Times

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## Abstract

This paper deals with the single machine scheduling problem to minimize the total weighted tardiness in the presence of sequence dependent setup. Setup times are small compared to processing time of jobs, so that they are added to the processing times of jobs. However, in fact these times exist and should be considered in sequenced jobs. In this paper, firstly, a mathematical model is proposed to describe the problem. According to literature, this problem is a NP-hard, so that a genetic algorithm as a meta-heuristic is proposed. This algorithm is tested on 120 benchmark instances. Results of solving the benchmark instances show that proposed genetic algorithm is an effective and able algorithm for minimizing tardiness on a single machine in the presence of setup times.

**Key words:** Single Machine, Total Weighted Tardiness, Setup Times, Genetic Algorithm.

## 1. INTRODUCTION

Many researches in minimizing total weighted tardiness deal with situation where there are no setup times. In this situation the problem is modeled with the assumption that either setup times are small compared to processing time of jobs so that they can be ignored or setup times can be independent of job processing sequence so that they are added to the processing times of jobs. However, substantial setup times are required between the processing of two jobs in some industries and the amount of setup time for a job depends on the preceding job in the processing order. In these cases, setup times should be considered explicitly. Such setup times are called sequence dependent setup times (SDST) and exist in industries that require, for example, cleaning, molding, painting and printing operations (Allahverdi et al., 2008). In the literature for scheduling problems with SDST, different performance measures have been studied, but, minimizing total weighted tardiness (TWT) is very important and in last decades, many researchers has paid attention to it and has reached useful results. This paper investigate with the problem of scheduling jobs with sequence dependent setup times on a single machine to minimize the total weighted tardiness (SMTWT-SDST), also denoted as  $1/s_{ij}/w_jT_j$ .

This problem has a set of  $n$  independent jobs ( $N = \{1, 2, \dots, n\}$ ) which is to be processed without interruption on a single machine. Furthermore, this single machine can process only one job at a time. All jobs can be selected for processing at time zero. Each job  $i \in N$  has an integer processing time, a due date, and a positive weight represented by  $P_i$ ,  $d_i$  and  $w_i$ , respectively and a sequence dependent setup time  $s_{ij}$  if job  $j$  is processed immediately after job  $i$ . For a given job sequence, we can complete the earliest completion times  $C_i$  and tardiness  $T_i = \max\{0, C_i - d_i\}$  for each job  $i \in N$ . The completion time of job  $p_i$  can be computed as  $C_k = C_{k-1} + s_{k-1,k} + p_k$ . When  $k=1$ ,  $C_0 = 0$  and  $s_{01}$  represent the initial setup time of job  $p_1$ . A weighted tardiness penalty  $w_iT_i$  will incur when job  $i$  is completed after its due date. The objective is to find a job sequence  $S$  in order to minimize the sum of weighted tardiness penalty given by the formula  $f(S) = \sum_{i=1}^n w_iT_i$ .

SMTWT problem is strongly NP-hard (Lawler 1977, Lenstra et al., 1977), and thus provides a challenging area for both exact algorithms and meta-heuristic approaches. Lots of researches have been done on this problem and many different approaches have been experimented. One may classify these heuristic algorithms as constructive and improvement heuristics. A sequence is formed by constructive heuristic through assigning a job to the current position at each step of the algorithm according to a dispatching rule. A dispatching rule can

be either static, such as the Earliest Due Date (EDD), or dynamic, such as Apparent Tardiness Cost (ATC) rule. ATC rule was proposed for the single machine total weighted tardiness problem (Vepsalainen & Morton, 1987). Rinnooy Kanetal (1975) extended the dominance rules which are developed by Emmons (1965) for the un-weighted problem to the weighted tardiness problem so that the search could be restricted for an optimal solution. A branch and bound algorithm was combined with Lagrangian relaxation introduced by Potts and Van Wassenhove (1985) which can solve problems with up to 50 jobs to optimality. A survey of dynamic programming and branch and bound algorithms for this problem is given by Abdul-Razaq et al. (1990). They compared these different methods on test problems with up to 50 jobs. The results of comparison showed that these exact algorithms are computationally inefficient when the number of jobs is beyond 50. Therefore, many researchers turn to develop heuristics to obtain near optimal solutions in reasonable time. Cheng et al. (2005) proposed a  $O(n^2)$  approximation algorithm and further investigated some special sub-models of this problem to identify polynomially solvable cases. Several construction heuristics and dispatching rules were reviewed by Potts and Van Wassenhove (1991). A priority rule was used by Volgenant and Teerhuis (1999) to improve four construction heuristics. Then they tested them on problems with up to 80 jobs. The simple heuristic methods are fast. But the obvious disadvantage of them is that solutions which are generated by them may be far from the optimum. Many other complex meta-heuristics are also proposed for this problem such as genetic algorithm (Avci et al., 2003), simulated annealing (Potts & Van Wassenhove, 1991), tabu search (TS) (Bozejko et al., 2006, Bilge et al., 2007), ant colony optimization (Holthaus and Rajendran, 2005), and particle swarm optimization combining differential evolution algorithms (Tasgetiren et al., 2006). Crauwels et al. (1998) compared the performance of simulated annealing, threshold accepting, TS, and genetic algorithms, using two different solution representations (permutation representation and binary representation). The iterated dynasearch (Congram et al., 2002) is the best algorithm for this problem in the literature, which is a local search technique that uses dynamic programming to find the best move consisting of a series of independent interchange moves and searches an exponential size neighborhood in polynomial time. Later this algorithm is improved by Grosso et al. (2004) through adopting generalized pairwise interchange operators. Though the variable neighborhood search (VNS) has been successfully applied in many combinatorial optimization problems such as the traveling salesman problem, the open vehicle routing problem, the p-median problem, the continuous location allocation problem, and so on (Mladenovic & Hansen, 1997, Hansen & Mladenovic, 2001, Hansen et al., 2008, Fleszar et al., 2007), existing research shows a lack of discussion of VNS on the SMTWT problem. Cicirello and Smith (2005) generated 120 problem instances, each with 60 jobs, for the  $1/s_{ij}/w_jT_j$  problem and analyzed the effectiveness of stochastic sampling approaches, such as value-biased stochastic sampling (VBSS), a VBSS with hill-climbing, limited discrepancy search, and heuristic-biased stochastic sampling, together with a simulated annealing (SA) approach for the  $1/s_{ij}/w_jT_j$  problem. In (Liao & Juan, 2007) and (Anghinolfi & Paolucci, 2008), ant colony optimization (ACO) algorithms were proposed. Lin and Ying compared results of three different meta-heuristics including Genetic Algorithm (GA), Tabu Search (TS) and SA (Lin & Ying, 2007). In (Cicirello, 2006), the results of (Cicirello & Smith, 2006) were improved by means of a GA approach by introducing a non-wrapping order crossover. Later, a beam search with variable beam and filter widths was proposed in (Valente & Alves, 2008). In addition, a discrete particle swarm optimization algorithm (DPSO) was presented with the best known results (Anghinolfi & Paolucci, 2009). Recently, a discrete differential evolution (DDE) algorithm was developed for the  $1/s_{ij}/w_jT_j$  problem and the previous best known solutions were improved with excellent results (Tasgetiren et al., 2009). Therefore, in this paper we present a genetic algorithm to solve the  $1/s_{ij}/w_jT_j$ .

The remainder of the paper is organized as follows. In Section 2, a mathematical programming formulation of the  $1/s_{ij}/w_jT_j$  problem is given. Then, the proposed genetic algorithm is explained in Section 3. For more explaining the problem and proposed algorithm, a numerical example is extended. The computational results of the proposed method are presented in Section 5. Finally, conclusions are stated in Section 6.

## 2. MATHEMATICAL MODEL

The problem  $1/s_{ij}/w_jT_j$  can be formulated as a mixed integer linear programming model by defining the following decision variables and considering the notation given earlier:

$$x_{jk} = \begin{cases} 1 & \text{If job } j \text{ is processed at the } k^{\text{th}} \text{ position.} \\ 0 & \text{Otherwise.} \end{cases}$$

$$y_{ijk} = \begin{cases} 1 & \text{If job } j \text{ is processed after job } i \text{ at the } k^{\text{th}} \text{ position.} \\ 0 & \text{Otherwise.} \end{cases}$$

Below we present the mixed integer linear programming model of the  $1/s_{ij}/w_j T_j$  problem for the sake of completeness as follows:

$$\text{Min} \sum_{j=1}^n w_j T_j \quad (1)$$

s.t :

$$\sum_{k=1}^n x_{jk} = 1 \quad \forall j \quad (2)$$

$$\sum_{j=1}^n x_{jk} = 1 \quad \forall k \quad (3)$$

$$C_j \geq (s_{0j} + p_j) x_{jk} \quad \forall j \text{ and } k = 1 \quad (4)$$

$$C_j \geq C_i - M(1 - y_{ijk}) + s_{ij} + p_j \quad \forall i, j, k \text{ where } i \neq j \text{ and } k \geq 2 \quad (5)$$

$$T_j \geq C_j - d_j \quad \forall j \quad (6)$$

$$x_{i(k-1)} + x_{jk} \leq y_{ijk} + 1 \quad \forall i, j, k \text{ where } i \neq j \text{ and } k \geq 2 \quad (7)$$

$$x_{jk} \in \{0, 1\} \quad \forall j, k \quad (8)$$

$$y_{ijk} \in \{0, 1\} \quad \forall i, j, k \quad (9)$$

$$C_j, T_j \geq 0 \quad \forall j \quad (10)$$

In this model, the total weighted tardiness as the objective function is minimized. Constraint sets (2),(3) ensure that each job is assigned to exactly one position and each position in the sequence is assigned to exactly one job, respectively. Constraint set (4) represents the completion time of job  $j$  which is assigned to the first position ( $k = 1$ ) in the sequence. The completion time of job  $j$  ( $C_j$ ) is calculated in constraint set (5). Constraint set (6) shows the tardiness of the jobs. Constraint set (7) is applied to interrelate two different sets of decision variables. Constraint sets (8) and (9) are integrality constraints, whereas constraint set (10) defines the continuous variables.

As stated in Section 1, the problem is NP-hard and hence solving the above mathematical model to obtain a solution to the problem is not practical. In the following section, we present the genetic algorithm which is developed to find better solutions.

### 3. GENETIC ALGORITHM

Genetic algorithm as one of the most applicable meta-heuristic methods to solve combinatorial optimization problems was introduced first by Holland (1975). In this section, the suggested genetic algorithm is explained completely. To use genetic algorithm in its best form, first of all, features of this algorithm should be designed based on the problem characteristics. These features are chromosomes (solution presentation), fitness function, initial population, selection of parents for generation of new population, crossover, mutation, and stopping criteria which are explained in the following subsections.

#### 3.1 CHROMOSOME DEFINITION

In proposed GA, chromosomes include  $n$  genes which present the number of jobs in definite sequence. It should be mentioned that in chromosome, each gens should satisfy the one job in sequence and amount of gens not be same. Figure 1 represents the chromosomes structure considered for this problem.

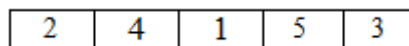


Figure 1. Chromosome (solution representation)

### 3.2 FITNESS FUNCTION

Fitness function ( $ff$ ) is the value of first objective functions (system availability) plus the penalty of constraints violation. In other words, the problem constraints are added to the objective function in such a way that if one solution goes beyond the constraints, a relatively large amount of penalty is added to the objective function. This penalty keeps the feasibility of the final solution while provides the search in the infeasible space of the problem. The search in the infeasible space leads to an appropriate diversity for genetic algorithm.

### 3.3 INITIAL POPULATION

In order to produce initial population,  $Pop$  chromosomes are generated randomly.

### 3.4 SELECTION

In order to select the required chromosomes in crossover, the following steps are passed. The fitness function ( $ff$ ) is calculated for all the existing chromosomes ( $Pop$ ) in the present population, then from  $Pop$  present chromosomes,  $k$  chromosomes are selected randomly and sorted based on  $ff$ . The chromosome with largest fitness functions is selected as the parent for generating a new population. This event will happen  $Pop$  times until finally  $Pop$  parents are selected for crossover and mutation operators.

### 3.5 CROSSOVER

Crossover is taken place with a certain rate. By using the crossover, from each two parents, six offspring is generated. The two parents and six offspring create eight chromosomes and the two premier chromosomes based of  $ff$  are selected to transfer to the next generation. As a result there would be  $Pop$  population at the end of crossover operations. In order to produce these six offspring from two selected parents, following steps are taken.

Step 1: Two random numbers  $(m_1, m_2)$  are selected from 1 to  $n$ , where  $m_1 < m_2$ .

Step 2: For first child, amount of gens from 1 to  $m_1$  be given from first parent and then, amount of other gens are given from second parent, respectively. In this situation, should be careful that amount of gens not be same. For second child, amount of gens from 1 to  $m_1$  be given from second parent and then, amount of other gens are given from first parent, too.

Step 3: For third child, amount of gens from  $m_1$  to  $m_2$  be given from first parent and then, amount of other gens are given from second parent, respectively. In this situation, should be careful that amount of gens not be same. For fourth child, amount of gens from  $m_1$  to  $m_2$  be given from second parent and then, amount of other gens are given from first parent, too.

Step 4: For fifth child, amount of gens from  $m_2$  to  $n$  be given from first parent and then, amount of other gens are given from second parent, respectively. In this situation, should be careful that amount of gens not be same. For sixth child, amount of gens from  $m_2$  to  $n$  be given from second parent and then, amount of other gens are given from first parent, too.

This kind of crossover leads to increase in capability of the algorithm to find a better solution. In figure 2, an example explains the crossover operation. Suppose that there is a single machine system with 6 jobs. Also,  $m_1 = 2, m_2 = 5$ :

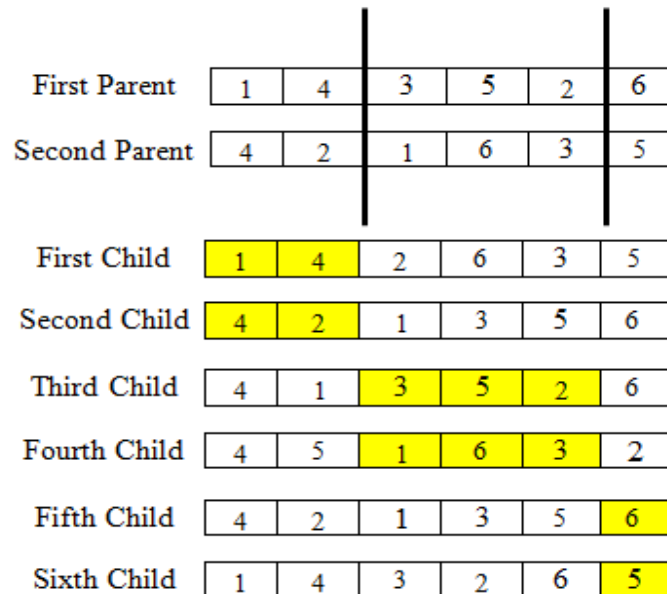


Figure 2. Crossover Operation

Now there are eight chromosomes and we should select two superior ones. For this selection,  $ff$  is calculated for all these eight chromosomes and they are compared with each other. Finally, two chromosomes with highest  $ff$  are selected.

### 3.6 MUTATION

Mutation operator is also used with certain rate which is less than crossover rate. The main purpose of applying mutation operator is to increase diversity and avoid trapping into local optimization. In this operator, one offspring is selected randomly among two chromosomes produced by the crossover operator. Two random numbers ( $m_1', m_2'$ ) are considered from 1 to  $n$  and values of these two genes are exchanged. Then  $ff$  is calculated for the muted offspring and is compared with the  $ff$  of the chromosome of pre-mutation. If the  $ff$  of new offspring is more than the previous one, then it will be replaced by the new generated offspring. Otherwise, the previous offspring remain as the superior ones.

For example, we suppose the fifth offspring has been selected for mutation;  $m_1' = 1$  and  $m_2' = 4$ . Figure 3 represents the mutation operator for these random values.

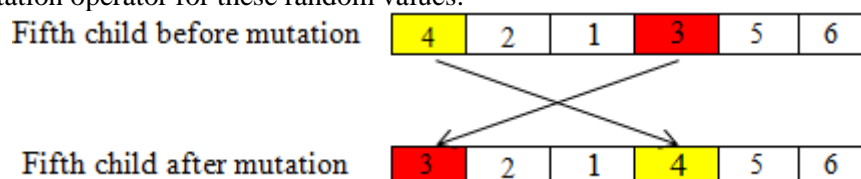


Figure 3. Mutation Operation

Crossover and mutation operators and regeneration process transfer one generation to another one.

### 3.7 STOPPING CRITERIA

The GA process will continue to reach a predefined number of iterations ( $Gen$ ).

## 4. NUMERICAL EXAMPLE

This part of the paper includes an example which has 5 jobs. The details of example are given in following tables.

Table 1. Parameters

|            |       | Jobs |    |    |    |    |
|------------|-------|------|----|----|----|----|
|            |       | 1    | 2  | 3  | 4  | 5  |
| Parameters | $w_i$ | 2    | 1  | 1  | 3  | 2  |
|            | $P_i$ | 5    | 4  | 4  | 3  | 3  |
|            | $d_i$ | 17   | 16 | 20 | 17 | 18 |

Table 2. Setup Times

|       |   | Before |      |      |     |     |      |   |
|-------|---|--------|------|------|-----|-----|------|---|
|       |   | Job    | 0    | 1    | 2   | 3   | 4    | 5 |
| After | 1 | 1      | -    | 1.5  | 1   | 2   | 1    |   |
|       | 2 | 0.5    | 1    | -    | 2   | 1   | 1.25 |   |
|       | 3 | 0.5    | 1.25 | 1.5  | -   | 2   | 0.5  |   |
|       | 4 | 1      | 1.5  | 1.25 | 1.5 | -   | 1    |   |
|       | 5 | 0.5    | 1    | 1    | 0.5 | 1.5 | -    |   |
|       | 6 |        |      |      |     |     |      |   |

To solve this problem, the proposed genetic algorithm has been used. The optimal scheduling is shown in Figure 4:

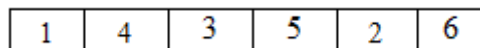


Figure 4. Optimal Scheduling

The Total Weighed Tardiness for example equal to 6.25 that proposed algorithm reach to it at a little time. Since size of problem is small, the proposed algorithm can reach optimal solution. But, for problems with medium and large size reach to optimal solution is very hard. To showing efficiency of proposed algorithm, it is tested on 120 benchmark instances.

## 5. CALCULATING EXPERIMENTS

The performance of the genetic algorithm is compared with that of other heuristic algorithms given in the literature, which were tested on the same benchmark data. The benchmark data include 120 instances, each with 60 jobs and are available at <http://www.ozone.ri.cmu.edu/benchmarks.html> (Cicirello & Smith, 2006). The instances were generated to cover a wide range of constraints imposed by three parameters, the due date tightness factor  $\tau$ , the due date range factor  $R$  and the setup time severity factor  $\eta$ . The parameters take the following values:  $\tau = \{0.3, 0.6, 0.9\}$ ,  $R = \{0.25, 0.75\}$  and  $\eta = \{0.25, 0.75\}$ . The details of these parameters were explained in (Lee et al., 1997). The following four sets are the best known results so far for the  $1/s_j / w_j T_j$  problem:

1. OBK: Overall aggregated best known solutions composed of the solutions generated by the SA, GA, and TS algorithms by Lin and Ying (2007), the ACO algorithm by Liao and Juan (2007) and the GA algorithm by Cicirello (2006).
2. ACO-AP: Solutions of ACO reported by Anghinolfi and Paolucci (2008).
3. DPSO : Solutions of DPSO presented by Anghinolfi and Paolucci (2009).
4. DDE: Results of DDE given by Tasgetiren et al. (2009).

Results of compare genetic algorithm with above algorithms are shown in Table3.

Table 3. Results of compare genetic algorithm with other algorithms

| Instance number | OBK | ACO-AP | DPSO | DDE | Best solution | Proposed GA | $\frac{\text{Best solution}-\text{GA}}{\text{Best solution}} \times 100$ |
|-----------------|-----|--------|------|-----|---------------|-------------|--|
| 1               | 684 | 513    | 531  | 474 | 474           | 472         | 0.421940928  |

|    |        |        |        |        |        |        |                  |
|----|--------|--------|--------|--------|--------|--------|------------------|
| 2  | 5082   | 5082   | 5088   | 4902   | 4902   | 4894   | 0.163198694      |
| 3  | 1792   | 1769   | 1609   | 1465   | 1465   | 1457   | 0.546075085      |
| 4  | 6526   | 6286   | 6146   | 5946   | 5946   | 5917   | 0.487722839      |
| 5  | 4662   | 4263   | 4339   | 4084   | 4084   | 4281   | -4.823702253     |
| 6  | 5788   | 7027   | 6832   | 6652   | 5788   | 5734   | 0.932964755      |
| 7  | 3693   | 3598   | 3514   | 3350   | 3350   | 3390   | -1.194029851     |
| 8  | 142    | 129    | 132    | 114    | 114    | 110    | 3.50877193       |
| 9  | 6349   | 6094   | 6153   | 5803   | 5803   | 5800   | 0.051697398      |
| 10 | 2021   | 1931   | 1895   | 1799   | 1799   | 1804   | -0.277932185     |
| 11 | 3867   | 3853   | 3649   | 3294   | 3294   | 3271   | 0.698239223      |
| 12 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S*)</b> |
| 13 | 5685   | 4597   | 4430   | 4194   | 4194   | 4147   | 1.120648546      |
| 14 | 3045   | 2901   | 2749   | 2268   | 2268   | 2381   | -4.982363316     |
| 15 | 1458   | 1245   | 1250   | 964    | 964    | 951    | 1.348547718      |
| 16 | 4940   | 4482   | 4127   | 3876   | 3876   | 3811   | 1.676986584      |
| 17 | 204    | 128    | 75     | 61     | 61     | 58     | 4.918032787      |
| 18 | 1610   | 1237   | 971    | 857    | 857    | 827    | 3.500583431      |
| 19 | 208    | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 20 | 2967   | 2545   | 2675   | 2111   | 2111   | 2172   | -2.88962577      |
| 21 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 22 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 23 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 24 | 1063   | 1047   | 1043   | 1033   | 1033   | 1017   | 1.548886738      |
| 25 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 26 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 27 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 28 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 29 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 30 | 165    | 130    | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 31 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 32 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 33 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 34 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 35 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 36 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 37 | 755    | 400    | 186    | 107    | 107    | 103    | 3.738317757      |
| 38 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 39 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 40 | 0      | 0      | 0      | 0      | 0      | 0      | <b>0 (OP.S)</b>  |
| 41 | 71186  | 70253  | 69102  | 69242  | 69102  | 69008  | 0.136030795      |
| 42 | 58199  | 57847  | 57487  | 57511  | 57487  | 57410  | 0.133943326      |
| 43 | 147211 | 146697 | 145883 | 145310 | 145310 | 144989 | 0.220907026      |
| 44 | 35648  | 35331  | 35331  | 35289  | 35289  | 35194  | 0.269205701      |
| 45 | 59307  | 58395  | 59175  | 58935  | 58395  | 58128  | 0.457230927      |
| 46 | 35320  | 35317  | 34805  | 34764  | 34764  | 34197  | 1.630997584      |
| 47 | 73984  | 73787  | 73378  | 73005  | 73005  | 73004  | 0.001369769      |
| 48 | 65164  | 65261  | 64612  | 64612  | 64612  | 64601  | 0.017024701      |
| 49 | 79055  | 78424  | 77771  | 77641  | 77641  | 77581  | 0.077278757      |
| 50 | 32797  | 31826  | 31810  | 31565  | 31565  | 31419  | 0.462537621      |
| 51 | 52639  | 50770  | 49907  | 49927  | 49907  | 49817  | 0.180335424      |
| 52 | 99200  | 95951  | 94175  | 94603  | 94175  | 94284  | -0.11574197      |
| 53 | 91302  | 87317  | 86891  | 84841  | 84841  | 84692  | 0.175622635      |
| 54 | 78960  | 76503  | 75490  | 75367  | 75367  | 75362  | 0.006634203      |

|     |        |        |        |        |        |        |              |
|-----|--------|--------|--------|--------|--------|--------|--------------|
| 55  | 69776  | 68843  | 68649  | 66006  | 66006  | 67219  | -1.837711723 |
| 56  | 78960  | 76503  | 75490  | 75367  | 75367  | 75362  | 0.006634203  |
| 57  | 67447  | 66534  | 64575  | 64552  | 64552  | 64610  | -0.089850043 |
| 58  | 48081  | 47038  | 45680  | 45322  | 45322  | 45317  | 0.01103217   |
| 59  | 55396  | 54037  | 52001  | 52207  | 52001  | 52197  | -0.376915829 |
| 60  | 68851  | 62828  | 63342  | 60765  | 60765  | 60769  | -0.006582737 |
| 61  | 76369  | 75916  | 75916  | 75916  | 75916  | 75881  | 0.046103588  |
| 62  | 44769  | 44869  | 44769  | 44769  | 44769  | 44769  | 0            |
| 63  | 75317  | 75317  | 75317  | 75317  | 75317  | 75317  | 0            |
| 64  | 92572  | 92572  | 92572  | 92572  | 92572  | 92572  | 0            |
| 65  | 127912 | 126696 | 126696 | 126696 | 126696 | 126696 | 0            |
| 66  | 59832  | 59685  | 59685  | 59685  | 59685  | 59751  | -0.110580548 |
| 67  | 29390  | 29390  | 29390  | 29390  | 29390  | 29390  | 0            |
| 68  | 22148  | 22120  | 22120  | 22120  | 22120  | 22120  | 0            |
| 69  | 64632  | 71118  | 71118  | 71118  | 64632  | 65823  | -1.842740438 |
| 70  | 75102  | 75102  | 75102  | 75102  | 75102  | 75102  | 0            |
| 71  | 150709 | 145825 | 145771 | 145007 | 145007 | 145007 | 0            |
| 72  | 46903  | 45810  | 43994  | 43904  | 43904  | 43741  | 0.371264577  |
| 73  | 29408  | 28909  | 28785  | 28785  | 28785  | 28785  | 0            |
| 74  | 33375  | 32406  | 30734  | 30313  | 30313  | 30291  | 0.072576122  |
| 75  | 21863  | 22728  | 21602  | 21602  | 21602  | 21602  | 0            |
| 76  | 55055  | 55296  | 53899  | 53555  | 53555  | 53289  | 0.49668565   |
| 77  | 34732  | 32742  | 31937  | 32237  | 31937  | 32108  | -0.535429126 |
| 78  | 21493  | 20520  | 19660  | 19462  | 19462  | 19462  | 0            |
| 79  | 121118 | 117908 | 114999 | 114999 | 114999 | 114999 | 0            |
| 80  | 20335  | 18826  | 18157  | 18157  | 18157  | 18157  | 0            |
| 81  | 384996 | 383485 | 383703 | 383405 | 383405 | 383405 | 0            |
| 82  | 410979 | 409544 | 409544 | 409544 | 409544 | 409481 | 0.015382963  |
| 83  | 460978 | 458879 | 458787 | 458752 | 458752 | 458752 | 0            |
| 84  | 330384 | 329680 | 329670 | 329670 | 329670 | 329670 | 0            |
| 85  | 555106 | 554766 | 555130 | 554993 | 554766 | 554993 | -0.040918153 |
| 86  | 364381 | 361685 | 361417 | 361417 | 361417 | 361417 | 0            |
| 87  | 399439 | 398670 | 398551 | 398670 | 398551 | 398558 | -0.001756362 |
| 88  | 434948 | 434410 | 433519 | 433186 | 433186 | 433186 | 0            |
| 89  | 410966 | 410102 | 410092 | 410092 | 410092 | 410092 | 0            |
| 90  | 402233 | 401959 | 401653 | 401653 | 401653 | 401653 | 0            |
| 91  | 344988 | 340030 | 343029 | 340508 | 340030 | 340500 | -0.138223098 |
| 92  | 365129 | 361407 | 361152 | 361152 | 361152 | 361152 | 0            |
| 93  | 410462 | 408560 | 406728 | 404548 | 404548 | 403681 | 0.214313258  |
| 94  | 335550 | 333047 | 333298 | 333020 | 333020 | 332981 | 0.011711008  |
| 95  | 521512 | 517170 | 521208 | 517011 | 517011 | 517091 | -0.015473559 |
| 96  | 461484 | 461479 | 459321 | 457631 | 457631 | 457648 | -0.003714783 |
| 97  | 413109 | 411291 | 410889 | 409263 | 409263 | 409127 | 0.033230465  |
| 98  | 532519 | 526856 | 522630 | 523486 | 522630 | 523471 | -0.160916901 |
| 99  | 370080 | 368415 | 365149 | 364442 | 364442 | 364371 | 0.019481838  |
| 100 | 439944 | 436933 | 432714 | 431736 | 431736 | 431736 | 0            |
| 101 | 353408 | 352990 | 352990 | 352990 | 352990 | 352990 | 0            |
| 102 | 493889 | 493936 | 493069 | 492748 | 492748 | 492618 | 0.026382654  |
| 103 | 379913 | 378602 | 378602 | 378602 | 378602 | 378602 | 0            |
| 104 | 358222 | 358033 | 375693 | 357693 | 357693 | 357693 | 0            |
| 105 | 450808 | 450806 | 450806 | 450806 | 450806 | 450806 | 0            |
| 106 | 455849 | 455093 | 455152 | 454379 | 454379 | 454379 | 0            |
| 107 | 353371 | 353368 | 352867 | 352766 | 352766 | 352750 | 0.004535584  |



|     |        |        |        |        |        |        |              |
|-----|--------|--------|--------|--------|--------|--------|--------------|
| 108 | 462737 | 461452 | 460792 | 460792 | 460792 | 460792 | 0            |
| 109 | 413205 | 413408 | 412004 | 413004 | 412004 | 413004 | -0.24271609  |
| 110 | 419481 | 418769 | 418769 | 418769 | 418769 | 418769 | 0            |
| 111 | 347233 | 346763 | 342752 | 342752 | 342752 | 342752 | 0            |
| 112 | 373238 | 373140 | 369237 | 367110 | 367110 | 367110 | 0            |
| 113 | 261239 | 260400 | 260176 | 260872 | 260176 | 260176 | 0            |
| 114 | 470327 | 464734 | 464136 | 465503 | 464136 | 465492 | -0.292155747 |
| 115 | 459194 | 457782 | 457874 | 457289 | 457289 | 457108 | 0.039581096  |
| 116 | 537459 | 532840 | 532456 | 530803 | 530803 | 530715 | 0.016578655  |
| 117 | 512286 | 506724 | 503199 | 502840 | 502840 | 502840 | 0            |
| 118 | 352118 | 355922 | 350729 | 349749 | 349749 | 349749 | 0            |
| 119 | 579462 | 573910 | 573046 | 573046 | 573046 | 573058 | -0.002094073 |
| 120 | 398590 | 397520 | 396183 | 394183 | 394183 | 396183 | -0.507378553 |

\* **OP.S:** Optimal Solution

The results in Table3 show that proposed genetic algorithm is an effective and able approach for minimize the total weighted tardiness in the presence of sequence dependent setup. From Table 3, we can see that the results for 47 (39.17%) out of 120 instances are improved, and for 54 (45%) out of 120 instances are equally solved. The genetic algorithm obtains inferior result only in 19 (15.83%) out of 120 instances compared to all algorithms presented in the literature (that is over all OBK, ACO\_AP, DPSO and DDE results). Table4 show the results for coordinating each class.

Table4. Average difference coordinating each class

| Class number | Instance number | Parameters values                   | Average difference |
|--------------|-----------------|-------------------------------------|--------------------|
| 1            | 1-10            | $\tau = 0.3, R = 0.25, \eta = 0.25$ | -0.018330          |
| 2            | 11-20           | $\tau = 0.3, R = 0.25, \eta = 0.75$ | 0.539105           |
| 3            | 21-30           | $\tau = 0.3, R = 0.75, \eta = 0.25$ | 0.154889           |
| 4            | 31-40           | $\tau = 0.3, R = 0.75, \eta = 0.75$ | 0.373832           |
| 5            | 41-50           | $\tau = 0.6, R = 0.25, \eta = 0.25$ | 0.340653           |
| 6            | 51-60           | $\tau = 0.6, R = 0.25, \eta = 0.75$ | -0.204650          |
| 7            | 61-70           | $\tau = 0.6, R = 0.75, \eta = 0.25$ | -0.190720          |
| 8            | 71-80           | $\tau = 0.6, R = 0.75, \eta = 0.75$ | 0.040510           |
| 9            | 81-90           | $\tau = 0.9, R = 0.25, \eta = 0.25$ | -0.002730          |
| 10           | 91-100          | $\tau = 0.9, R = 0.25, \eta = 0.75$ | -0.003960          |
| 11           | 101-110         | $\tau = 0.9, R = 0.75, \eta = 0.25$ | -0.021180          |
| 12           | 111-120         | $\tau = 0.9, R = 0.75, \eta = 0.75$ | -0.074550          |

Figures 5-16 show these results. In these figures, horizontal axis and vertical axis denote the instance number and percent difference, respectively.

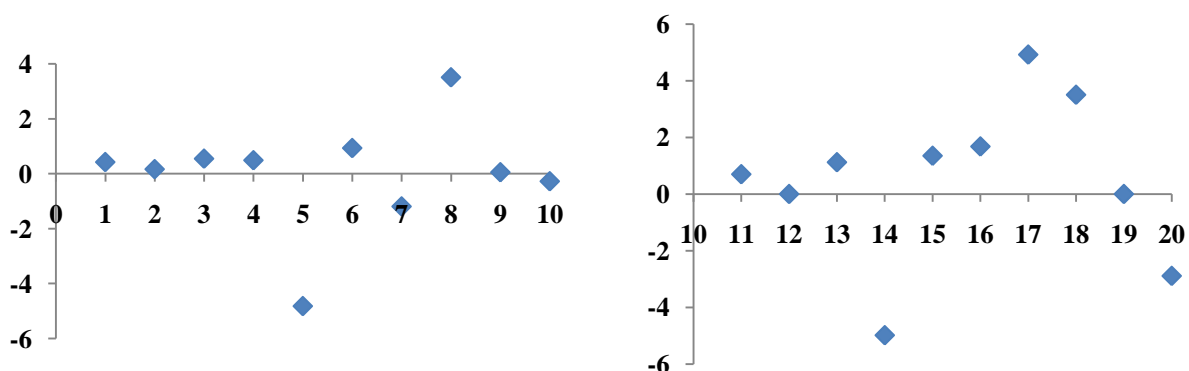


Figure5. Results for Class 1(Instances 1-10)

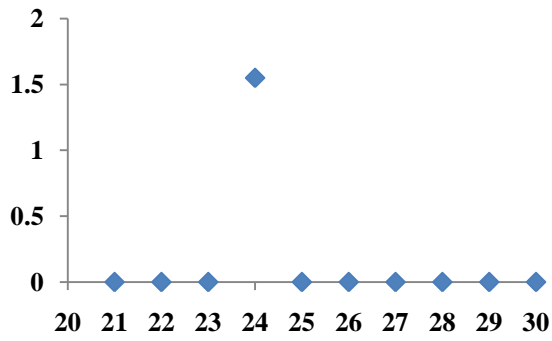


Figure6. Results for Class 2(Instances 11-20)

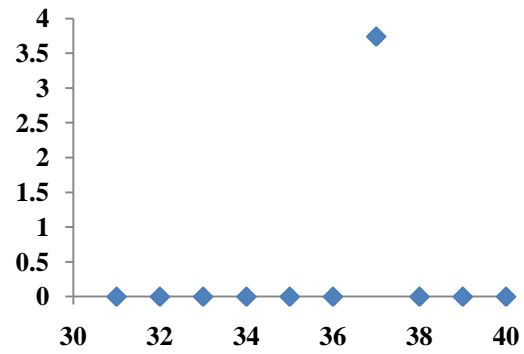


Figure7. Results for Class 3(Instances 21-30)

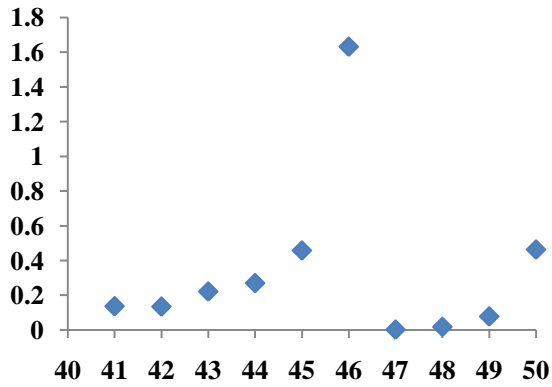


Figure8. Results for Class 4(Instances 31-40)

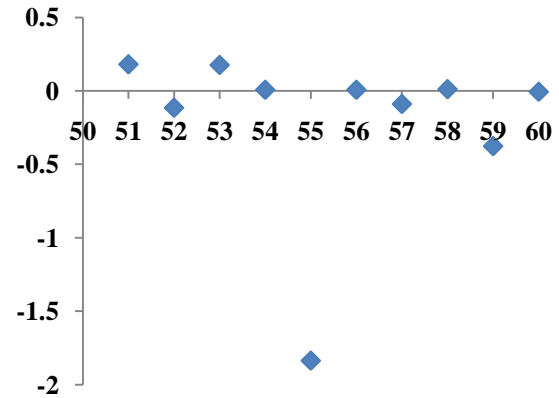


Figure9. Results for Class 5(Instances 41-50)

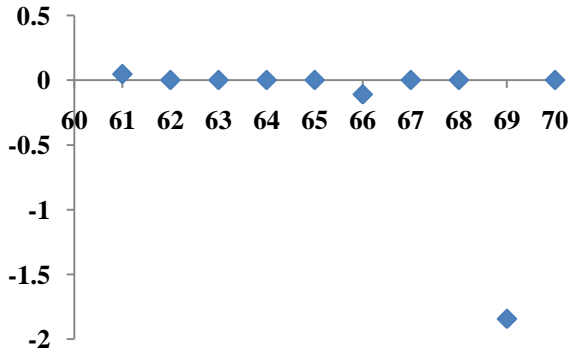


Figure10. Results for Class 6(Instances 51-60)

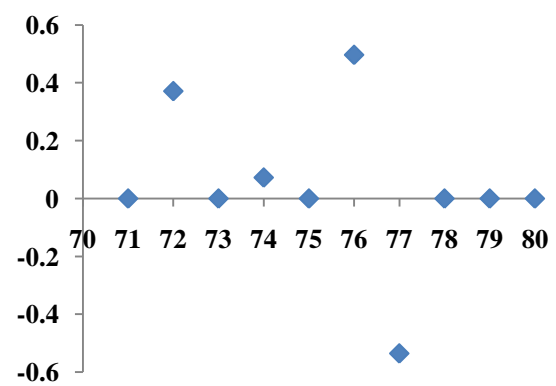


Figure11. Results for Class 7(Instances 61-70)

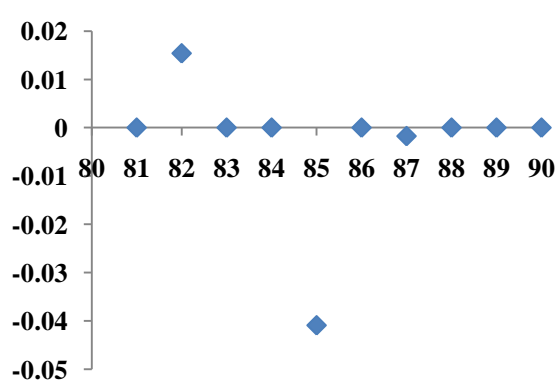


Figure12. Results for Class 8(Instances 71-80)

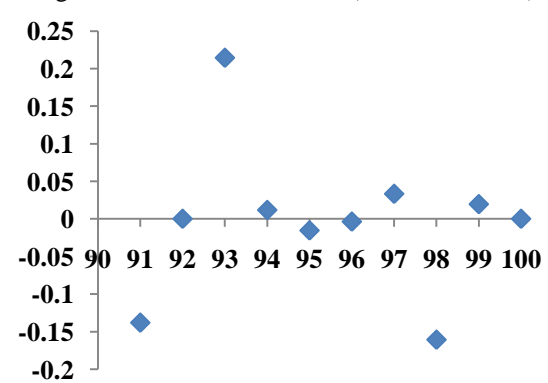


Figure13. Results for Class 9(Instances 81-90)

Figure14. Results for Class 10(Instances 91-100)

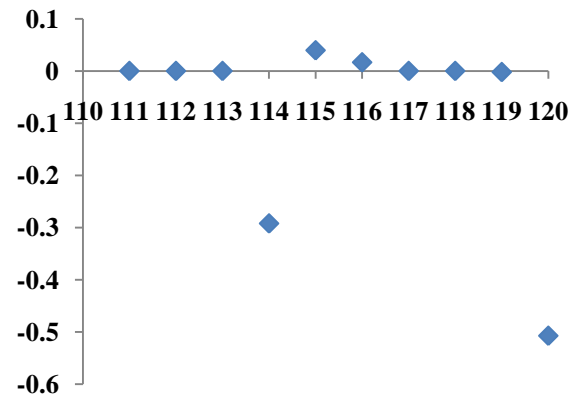
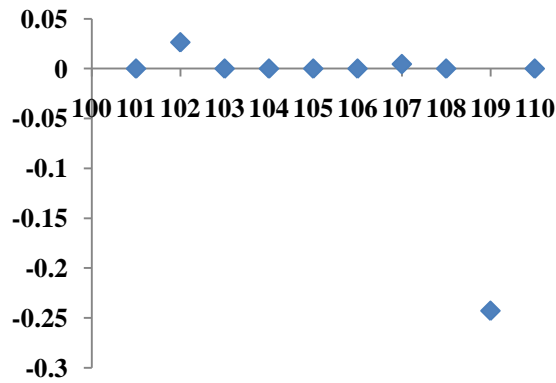


Figure15. Results for Class 11(Instances 100-110)

Figure16. Results for Class 12(Instances 111-120)

These figures show that the proposed Genetic Algorithm is effective. Therefore, for classes 1,2,5,8,10 this algorithm improve the best solution.

## 6. CONCLUSION

In this paper the single machine scheduling problem to minimize the total weighted tardiness in the presence of sequence dependent setup is considered. According to researches, this problem is NP-hard, so that we proposed a genetic algorithm. This algorithm tested on predefined benchmark and compared to four effective algorithms which are existing in literature. This benchmark includes 120 instances, as the results for 47 (39.17%) out of 120 instances are improved and for 54 (45%) out of 120 instances are equally solved. The genetic algorithm obtains inferior result only in 19 (15.83%) out of 120 instances compared to other algorithms. The obtained results show the proposed genetic algorithm is effective and able to find good solution in this field. For future developments and more reality of the modeling and assumptions, we can consider assumption compulsory idle times and setup times depend on sequencing simultaneously.

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